



CENTRAL BANK
of BELIZE

TRACKING NO.:

FOR INTERNAL USE ONLY

NOTICE FOR PORTFOLIO & CAPITAL INVESTMENTS
Exchange Control Regulation No. 8-15

An application for foreign exchange outflows must be submitted using an XCHI form.

Agent Name:	Email Address:	Telephone No.:
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Agent Information

Insert the Name, Email Address & Telephone No. of the agent submitting the application.

Transaction Description

Select the appropriate transaction type from the list provided

TRANSACTION DESCRIPTION	
<input type="radio"/>	Issuing a security or shares in a company registered in Belize - Regulation 8(1)
<input type="radio"/>	Subscribing to the memorandum of association upon formation of a company to be registered under the Companies Act - Regulation 8(2)
<input type="radio"/>	Transferring a security or shares from a non-resident to a resident of Belize (vice versa) - Regulation 9(1) and (2)
<input type="radio"/>	Transferring a coupon in Belize to a non-resident (vice versa) - Regulation 9(3)
<input type="radio"/>	Issuing or creating a bearer certificate or coupon in Belize- Regulation 10
<input type="radio"/>	Converting a security registered or transferable in Belize into one that is registered outside of Belize- Regulation 11
<input type="radio"/>	Pledging a security registered in Belize - Regulation 12
<input type="radio"/>	Adding provisions to nominee holdings - Regulation 14
<input type="radio"/>	Conducting any act related to the transfer of rights and power for a security - Regulation 15

SECURITIES DETAILS	
Name of Resident Company Being Subscribed to:	
Nature of Business	
Number of Shares Acquired or Transferred	
Total Number of Shares	
Par Value of Shares	

Information for New and Target Company

Name of Company in which shares are being acquired (Target Company)

Type of business formation

Amount of shares being acquired/transferred in the company

Total number of shares the company can legally issue or sell to investors

The cost of each share

Transferor's Information

Name of individuals/entity who is transferring the shares

**Note: If there are more than two individuals transferring shares, state more than one name one a line and separate them by a dash "-" or a slash "/".

If the shares are being transferred from a company, the Ultimate Beneficial Owners (UBO's) Details section must be filled.

If the shares are being allotted, this section does not apply.

If the transferor is a entity, select the type of enterprise

TRANSFEROR DETAILS			
Individual/Enterprise Name:	1. 2.		
Passport or Social Security No.:	Expiration Date:	Country of Issue:	
1.	1.	1.	
2.	2.	2.	
Current Address:	1. 2.		Type of Enterprise: <input type="checkbox"/> Local Company Incorporation <input type="checkbox"/> Overseas Company <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Foreign Company

*If the transferor above is an enterprise, enter details for all individuals who own more than 10%.
A copy of passport or Social Security Card must be attached.*

UBO's Information for the Transferor

Nationality for each individual

Amount of shares held by each individual/company

Current/permanent address for each individual

ULTIMATE BENEFICIAL OWNER DETAILS		
Individual Name:	Nationality:	
1.	1.	
2.	2.	
Passport or Social Security No.	Expiration Date	Allotted Share Capital of the Enterprise
1.	1.	1.
2.	2.	2.
Current Address:	1. 2.	

The Central Bank of Belize reserves the right to request any additional information as deemed necessary.

Name of each individual who owns/controls more than 10% shares of the company stated in the transferor Details section

**Note: If the UBO's are companies, state the UBO's of that company. If the UBO is another company, go until you find a natural person and state him/her as the UBO.

Passport/social security information as stated on original

**Note: A certified/notarized copy of the Biometric page must be submitted along with application form.

Transferee's Information

Passport/social security information as stated on original
**Note: A certified/notarized copy of the Biometric page must be submitted along with application form.

Current address/permanent address for each transferee

Name of each individual who owns/controls more than 10% shares in the company stated in the Transferee Details section
**Note: If the UBO's are companies, state the UBO's of that company. If the UBO is another company, go until you find a natural person and state him/her as the UBO.

Passport/social security information as stated on original
**Note: A certified/notarized copy of the Biometric page must be submitted along with application form.

TRANSFEEE DETAILS			
Individual/Enterprise Name:	1. <div></div>		
	2. <div></div>		
Passport or Social Security No.:	Expiration Date:	Country of Issue:	
1. <div></div>	1. <div></div>	1. <div></div>	
2. <div></div>	2. <div></div>	2. <div></div>	
Current Address:	1. <div></div>		Type of Enterprise: <input type="checkbox"/> Local Company Incorporation <input type="checkbox"/> Overseas Company <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Foreign Company
	2. <div></div>		

Name of individuals/entity who is acquiring the shares
**Note: If there are more than two individuals transferring shares, state more than one name one a line and separate them by a dash "-" or a slash "/".
If the shares are being transferred from a company, the Ultimate Beneficial Owners (UBO's) Details section must be filled.
If the shares are being allotted, this section does not apply.

If the transferee is a entity, select the type of enterprise

If the transferee above is an enterprise, enter details for all individuals who own more than 10%.
A copy of passport or Social Security Card must be attached.

UBO's Information for the Transferee

ULTIMATE BENEFICIAL OWNER DETAILS			
Individual Name		Nationality	
1. <div></div>		1. <div></div>	
2. <div></div>		2. <div></div>	
Passport or Social Security No.	Expiration Date	Allotted Share Capital of the Enterprise	
1. <div></div>	1. <div></div>	1. <div></div>	
2. <div></div>	2. <div></div>	2. <div></div>	
Current Address:	1. <div></div>		
	2. <div></div>		

Nationality for each individual

Amount of shares held by each individual/company

Current/permanent address for each individual

For the subscription to the memorandum of association upon formation of a company to be registered under the Companies Act.

Information for New Companies only

PROPOSED COMPANY DETAILS	
Company Name	<div></div>
Country of Registration	<div></div>
Nature of Business:	<div></div>
Share Capital of the Company	<div></div>
Total Number of Shares	<div></div>
Par Value of Shares	<div></div>

Name of proposed Company

Company's country of origin

Type of business formation

The monetary value of the total number of shares

Total number of shares the company can legally issue or sell

The cost of one share

UBO's Information for the Target Company

Name of each individual who owns/controls more than 10% shares in the company stated in the Security Details section
**Note: If the UBO's are companies, state the UBO's of that company. If the UBO is another company, go until you find a natural person and state him/her as the UBO.

If it is a new company, this section does not apply.

Passport/social security information as stated on original
**Note: A certified/notarized copy of the Biometric page must be submitted along with application form.

ULTIMATE BENEFICIAL OWNER DETAILS			
Individual Name:		Nationality:	
1. <div></div>		1. <div></div>	
2. <div></div>		2. <div></div>	
Passport or Social Security No.	Expiration Date:	Allotted Share Capital of the Enterprise:	
1. <div></div>	1. <div></div>	1. <div></div>	
2. <div></div>	2. <div></div>	2. <div></div>	
Current Address:	1. <div></div>		
	2. <div></div>		

Nationality for each individual

Amount of shares held by each individual/company

Current/permanent address for each individual

DECLARATION BY APPLICANT

I declare that-
The information given is true and correct. I understand that failure to comply with or contravention of the provisions of the Exchange Control Regulations, 1976, renders me liable on conviction to a fine or imprisonment or both. I acknowledge that if there is any alteration to the information furnished herein, notice of such alteration must be given to the Central Bank of Belize.

APPLICANT'S SIGNATURE

Applicant Signature